

Design & Appraisal Stage Quality Assurance Report

Form Status: In Progress

Overall Rating:	
Decision:	
Portfolio/Project Number:	00110401
Portfolio/Project Title:	NAP Havana Coastal Zone
Portfolio/Project Date:	2021-12-02 / 2026-09-02

Strategic

Quality Rating:

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- 3: *The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.*
- 2: The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- 1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

Evidence:

El proyecto ha desarrollado su propia teoría del cambio con una ruta explícita que explica cómo contribuirá al cambio y como la estrategia del proyecto conducirá a este cambio. La teoría del cambio de este proyecto contribuirá a cambiar de un enfoque reactivo a un enfoque proactivo cuando de combatir los impactos del Cambio Climático se trate. Además, dotará al Gobierno de la Habana con un portafolio de medidas de adaptación para materializar el enfoque proactivo. Este análisis está respaldado por evidencia creíble de lo que funciona en el contexto del país, resultado de iniciativas anteriores, e incluye un análisis de supuestos y riesgos que permiten identificar cuáles serían las barreras a tener en cuenta.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_101 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_101.docx)	johan.navarro@undp.org	5/3/2022 7:59:00 PM

2. Is the project aligned with the UNDP Strategic Plan?

- 3: *The project responds to at least one of the development settings as specified in the Strategic Plan¹ and adapts at least one Signature Solution². The project's RRF includes all the relevant SP output indicators. (all must be true)*
- 2: The project responds to at least one of the development settings as specified in the [Strategic Plan⁴](#). The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

El proyecto responde a dos de las configuraciones de desarrollo:

1. Acelerar las transformaciones estructurales para el desarrollo sostenible. El proyecto pretende desarrollar por primera vez un Plan de Adaptación al CC en municipios costeros e incluirlo dentro de mecanismos de gobernanza y planificación desde el nivel local hasta el nacional. De esta manera contribuirá a acelerar las transformaciones necesarias en las estructuras de gobierno y sociales que permitan aplicar la preparación anticipada ante los impactos del CC. La aceleración de estas transformaciones serán posibles porque el proyecto proveerá a los gobiernos de las herramientas necesarias para identificar su nivel de vulnerabilidad ante los diferentes impactos del CC y cuales serían las medidas a aplicar.
2. Crear resiliencia ante perturbaciones y crisis. El proyecto está diseñado para crear resiliencia ante los impactos del cambio climático en 6 municipios costeros de La Habana.

Además el proyecto tributa las soluciones emblemáticas siguientes, mejorar la capacidad nacional de prevención y recuperación para sociedades resilientes; promover soluciones basadas en la naturaleza para un planeta sostenible; fortalecer la igualdad de género y el empoderamiento de las mujeres y las niñas. El marco de resultado del proyecto contiene indicadores que tributan a las soluciones emblemáticas.

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No documents available.			

3. Is the project linked to the programme outputs? (i.e., UNDAF Results Group Workplan/CPD, RPD or Strategic Plan IRRF for global projects/strategic interventions not part of a programme)

- Yes
 No

Evidence:

El proyecto contribuye al siguiente resultado del Cooperation Framework and CPD – Outcome 3: Institutions, production and service sectors, territorial governments and communities improve the protection and rational use of natural resources and ecosystems, resilience to climate change, and comprehensive disaster risk reduction management

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No documents available.			

Relevant**Quality Rating:**

4. Do the project target groups leave furthest behind?

- 3: *The target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.*
- 2: The target groups are clearly specified, prioritizing groups left furthest behind.
- 1: The target groups are not clearly specified.

Evidence:

Los grupos claves del proyectos (comunidades, líderes comunitarios, tomadores de decisiones, estructuras gubernamentales locales y nacionales, instituciones científicas) no se dejarán atrás, estos grupos fueron identificados durante la fase de diseño del proyecto, mediante procesos de consultas y sus criterios fueron tenidos en cuenta para el diseño del proyecto. Además el proyecto garantiza la la participación plena, efectiva e inclusiva de las partes interesadas, incluidas las comunidades locales, así como, las autoridades gubernamentales nacionales y locales, en todo el ciclo del proyecto mediante la implementación del Plan de participación de los actores claves que será desarrollado.

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No documents available.			

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- 3: *Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.*
- 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

Evidence:

NAP ZCH es un proyecto que nació de la base del conocimiento, buenas prácticas y las lecciones aprendidas de proyectos anteriores y la experiencia en la implementación de la oficina de país de PNUD. En la FP quedó demostrado con evidencias, basadas principalmente en la experiencia de proyectos como PNUD/AF Manglar Vivo, UNDP/GCF Mi Costa, PNUD/UE/COSUDE BASAL, PNUD/UE Resiliencia Costera, también se tuvieron en cuenta durante la fase de diseño del proyecto. Así mismo se tienen en cuenta los resultados de proyectos nacionales como Macro proyecto y los Estudios de Peligro Vulnerabilidad y riesgos desarrollados en el país. Evidencia de lo anterior se puede observar en los documentos adjuntos PRODOC.

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#	File Name	Modified By	Modified On
1	6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_105 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_105.docx)	johan.navarro@undp.org	5/3/2022 8:21:00 PM

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- 3: An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)
- 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

Evidence:

UNDP tiene una clara ventaja, para cumplir con su papel de implementador del proyecto, justificado por su vasta experiencia en el trabajo conjunto con el G ob. de Cuba y la implementación de proyectos, por más de 45 años. Además, es líder en el país en la implementación de proyectos para la conservación, rehabilitación, y uso sostenible de los recursos naturales, así como la creación de marcos habilitadores. A raíz del análisis realizado, se evidenció que la contraparte nacional identificada para este proyecto (AMA-CITMA), es la adecuada para su implementación técnica, pues su rol dentro del proyecto forma parte de sus objetos sociales.

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No documents available.			

Principled**Quality Rating:**

7. Does the project apply a human rights-based approach?

- 3: *The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)*
- 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered.

Evidence:

El proyecto, a través de la aplicación de las herramientas de salvaguardas ambientales y sociales, confirmó que en su diseño tiene en cuenta los principios de derechos humanos de responsabilidad, participación significativa y no discriminación. Además, respeta las leyes y normas nacionales e internacionales pertinentes.

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#	File Name	Modified By	Modified On
1	CUBANAP6216SESPclean_12855_107 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CUBANAP6216SESPclean_12855_107.docx)	johan.navarro@undp.org	5/3/2022 8:26:00 PM

8. Does the project use gender analysis in the project design?

- 3: *A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)*
- 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

Evidence:

Se realizó un análisis de género participativo y los resultados de este análisis de género fueron incorporados en el documento del proyecto. Los productos e indicadores del marco de resultados incluyen referencias explícitas a la igualdad de género, y los indicadores específicos miden y monitorean los resultados para garantizar que las mujeres se beneficien plenamente del proyecto. Un Plan de Acción de Género será desarrollado durante el año 1 del proyecto.

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#	File Name	Modified By	Modified On
No documents available.			

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- 3: *Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)*
- 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- 1: Sustainability and resilience dimensions and impacts were not adequately considered.

Evidence:

El proyecto se diseñó para, aumentar la resiliencia ante los efectos del cambio climático en municipios costeros, la creación de capacidades en las comunidades locales para la adaptación y su integración en los marcos de planificación locales y provinciales. En la FP existe evidencia suficiente que demuestra que para su diseño se tuvieron en cuenta las dimensiones de sostenibilidad y resiliencia. El proyecto refleja como se interconectan las dimensiones sociales, económicas y ambientales para lograr la resiliencia de comunidades ante los impactos del CC. En la aplicación de las salvaguardas ambientales y sociales se identificaron todos los riesgos que pudieran afectar la implementación del proyecto y se proponen medidas para mitigar su impacto.

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#	File Name	Modified By	Modified On
1	6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_109 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_109.docx)	johan.navarro@undp.org	5/3/2022 8:30:00 PM
2	CUBANAP6216SESPclean_12855_109 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CUBANAP6216SESPclean_12855_109.docx)	johan.navarro@undp.org	5/3/2022 8:30:00 PM

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences and/or communication materials and information dissemination. [if yes, upload the completed checklist. If SESP is not required, provide the reason for the exemption in the evidence section.]

- Yes
 No
 SESP not required because project consists solely of (Select all exemption criteria that apply)
- 1: Preparation and dissemination of reports, documents and communication materials
 - 2: Organization of an event, workshop, training
 - 3: Strengthening capacities of partners to participate in international negotiations and conferences
 - 4: Partnership coordination (including UN coordination) and management of networks
 - 5: Global/regional projects with no country-level activities(e.g.activities such as knowledge management, inter-governmental processes)
 - 6: UNDP serves as Administrative Agent
 - 7: Development Effectiveness projects and Institutional Effectiveness projects

Evidence:

El SESP fue aplicado al proyecto durante la fase de diseño y formulación, identificando 3 riesgos ambientales y sociales que podrían afectar la implementación del proyecto y proponiendo las medidas y planes de manejo para mitigar sus impactos.

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#	File Name	Risk Category	Risk Requirements	Document Status	Modified By	Modified On
1	CUB ANAP 6216 SESP clean _128 55_11 0 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CUBANAP6216SESPclean_1285_110.docx)	Low		Draft	johan.navarro@undp.org	5/3/2022 8:32:00 PM

Management & Monitoring

Quality Rating:

11. Does the project have a strong results framework?

- 3: *The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)*
- 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

Evidence:

El proyecto cuenta con un marco de resultados e indicadores fuerte, que responde directamente a los objetivos del proyecto. Los indicadores seleccionados cumple con los criterios SMART (Específicos, Medibles, Alcanzable, Relevante y ajustados al tiempo), responden directamente a los resultados propuestos, están identificadas sus fuentes de datos y las metodologías para medirlos durante la implementación. Además, se incluyen indicadores enfocados a medir el impacto de los temas de género en los resultados del proyecto, desagregando por sexos sus resultados.

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#	File Name	Modified By	Modified On
1	6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_111 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_111.docx)	johan.navarro@undp.org	5/3/2022 8:34:00 PM

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
- 2: *The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)*
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

Evidence:

El Comité Directivo Nacional se definió como el mecanismo de gobernanza del proyecto y están descritas en el documento de proyecto sus funciones y representantes. Todas las instituciones que conforman el CDN han aprobado sus roles y responsabilidades para con el proyecto, los individuos que representan a esas instituciones están en proceso de selección. El documento de proyecto contiene un resumen de los roles y responsabilidades de los principales actores del CDN.

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No documents available.			

13. Have the project risks been identified with clear plans stated to manage and mitigate each risk?

- 3: *Project risks related to the achievement of results are fully described in the project risk log, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)*
- 2: Project risks related to the achievement of results are identified in the initial project risk log based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk log, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

Evidence:

En el registro de riesgos del proyecto se describieron los riesgos identificados durante la fase de diseño del proyecto con las respectivas medidas para mitigar sus impactos. El análisis de riesgo se realizó de conjunto con las instituciones y actores nacionales participantes en el proyecto, así como, otras instancias de PNUD. Este trabajo conjunto permitió no solo identificar los riesgos, sino también, poder elaborar un plan en el cual se definen las medidas adecuadas para manejar estos riesgos en caso de que sucedan.

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No documents available.			

Efficient**Quality Rating:**

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design? This can include, for example:

- i) Using the theory of change analysis to explore different options of achieving the maximum results with the resources available.
- ii) Using a portfolio management approach to improve cost effectiveness through synergies with other interventions.
- iii) Through joint operations (e.g., monitoring or procurement) with other partners.
- iv) Sharing resources or coordinating delivery with other projects.
- v) Using innovative approaches and technologies to reduce the cost of service delivery or other types of interventions.

- Yes
 No

Evidence:

Durante el diseño del proyecto se han identificado algunas medidas para garantizar el uso costo-efectivo de los recursos del proyecto. La aplicación de las normativas del PNUD para procesos de adquisición permite seleccionar la oferta que cumpla con los requerimientos técnicos necesarios y sea la más costo eficiente. También, se promueve el uso de LTAs de PNUD para garantizar costos de equipamientos y servicios preferenciales. Se identificarán durante la implementación aquellas iniciativas con las que trabajar de conjunto para compartir costos y hacer más efectivo el uso de los recursos, tanto en servicios nacionales como internacionales.

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No documents available.			

15. Is the budget justified and supported with valid estimates?

- 3: *The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.*
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

Evidence:

El presupuesto del proyecto está elaborado a nivel de actividades y tiene bien detallado todos los bienes y servicios que se planean adquirir, para todos los años del proyecto. Sus costos están basados en evidencias de proyectos anteriores, en búsquedas online de los posibles mercados y teniendo en cuenta la experiencia de la Unidad de Adquisiciones del PNUD/CUBA, así como, los riesgos que se presentan a partir del Embargo económico de USA sobre Cuba. Además se han incluido como contingencia los posibles costos asociados a la implementación de la Tarifa Ordenamiento en Cuba y de la crisis provocada por la COVID 19. Los costos de monitoreo, evaluación y comunicaciones también han sido incluidos, sobre todo teniendo en cuenta el incremento en el uso de las tecnologías de comunicación para mitigar los efectos de la COVID-19.

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No documents available.			

16. Is the Country Office / Regional Hub / Global Project fully recovering the costs involved with project implementation?

- 3: The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)
- 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

Evidence:

En el presupuesto del proyecto están programados los costos relacionados con los servicios de apoyo a la ejecución que brindará el PNUD, en las áreas de operaciones, de recursos humanos, finanzas, adquisiciones, los cuales serán recuperados según las regulaciones establecidas (UPL y LPL). Además, están programados los costos de la unidad de manejo establecida bajo contrato PNUD. (Se adjunta presupuesto).

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1	6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_116 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6216NAPCubaNAP-ReadinessProDocbeforeLPAC21.4.20200_12855_116.docx)	johan.navarro@undp.org	5/3/2022 8:43:00 PM

Effective

Quality Rating:

17. Have targeted groups been engaged in the design of the project?

- 3: *Credible evidence that all targeted groups, prioritising discriminated and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)*
- 2: Some evidence that key targeted groups have been consulted in the design of the project.
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

Evidence:

Los grupos claves del proyecto como las comunidades costeras, líderes comunitarios, gobiernos locales, entre otros, fueron priorizados durante el diseño del proyecto. Los criterios de estos grupos fueron tenidos en cuenta durante el diseño del proyecto, así como, en los diferentes niveles del mecanismo de gobernanza del proyecto se cuenta con representación de estos grupos, que participarán en la toma de decisiones durante la implementación del proyecto.

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No documents available.			

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lessons learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
 No

Evidence:

Las actividades del proyecto fueron planificadas a partir de las lecciones aprendidas y las buenas prácticas demostradas en otras iniciativas similares. No obstante, se mantendrá un monitoreo continuo sobre los resultados del proyecto y se evaluarán continuamente las lecciones aprendidas durante la implementación. De identificarse que existen mejores enfoques para obtenerse los resultados, se llevarán las propuestas al CDN del proyecto para que se tome la decisión correcta.

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No documents available.

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- Yes
- No

Evidence:

El indicador de Género del Proyecto es 2. Durante el primer año del proyecto se desarrollará un Plan de acción de género donde se integrarán todas las actividades a desarrollar durante la implementación del proyecto para favorecer la equidad de género en las actividades a nivel de comunidades.

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No documents available.

Sustainability & National Ownership

Quality Rating:

20. Have national / regional / global partners led, or proactively engaged in, the design of the project?

- 3: National partners (or regional/global partners for regional and global projects) have full ownership of the project and led the process of the development of the project jointly with UNDP.*
- 2: The project has been developed by UNDP in close consultation with national / regional / global partners.
- 1: The project has been developed by UNDP with limited or no engagement with national partners.

Evidence:

PNUD y la AMA como contraparte técnica lideraron el diseño del proyecto y contó con el apoyo proactivo de otras instituciones nacionales como, FLACSO, Gobiernos locales, OTU, IGA, entre otras. La Asesoría Técnica Regional PNUD condujo directamente cada una de las etapas del proyecto, que también contó con un grupo de consultores internacionales contratados de las más variadas temáticas. Esto contribuyó a traer las mejores experiencias disponibles.

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No documents available.			

21. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- 3: *The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.*
- 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- 1: Capacity assessments have not been carried out.
- Not Applicable

Evidence:

Durante la fase de diseño fueron identificadas las instituciones claves que participarían en el proyecto. Una evaluación de capacidades fue realizada a la institución responsable de la implementación (AMA) durante la fase de diseño del proyecto HACT 2021), el nivel riesgo identificado fue catalogado de bajo. Adicionalmente, durante la implementación del proyecto se monitorearán regularmente las capacidades de la AMA como socio implementador nacional para poder detectar a tiempo cambios en sus capacidades que puedan influir negativamente en la implementación del proyecto.

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22. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.,) to the extent possible?

- Yes
- No
- Not Applicable

Evidence:

En el diseño del proyecto se tuvo en cuenta como se utilizarían los mecanismos nacionales existentes para apoyar la implementación del proyecto. Se usarán los mecanismos asociados al Sistema Estadístico Nacional y al Sistema Estadístico Complementario para el reporte de indicadores que tributarán a evaluar la resiliencia de las comunidades costeras. Además, la Plataforma de Gestión del Conocimiento para la Adaptación Costera al CC que implementará el proyecto, se integrará al Sistema Nacional de Información Ambiental para contribuir con información relacionada a la adaptación al CC. Todo lo antes expuesto queda explicado en la FP presentada al FVC

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23. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- Yes
- No

Evidence:

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QA Summary/LPAC Comments